JC03 Rec'd PCT/PTO 2 1 OCT 2004

PTO-1390 (Rev. 02-2005)

Approved for use through 3731/2007. OMB 0651-0021

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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TRANSMITTAL LETTER TO THE UNITED STATES **DESIGNATED/ELECTED OFFICE (DO/EO/US)**

ATTORNEY'S DOCKET NUMBER NL030412 U.S. APPLICATION NO. (If known, see 37 CFR 1.5)

CONCERNING A SUBMISSIO	10/554011							
INTERNATIONAL APPLICATION NO. PCT/IB2004/050492	INTERNATIONAL FILING DATE April 22, 2004	PRIORITY DATE CLAIMED April 24, 2003						
TITLE OF INVENTION ELECTROPHORETIC DISPLAY DEVICE								
APPLICANT(S) FOR DO/EO/US Volker SCHOELLMANN; Guofu ZHOU; Mark Thomas JOHNSON								
Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:								
1. This is a FIRST submission of items co	This is a FIRST submission of items concerning a submission under 35 U.S.C. 371.							
2. This is a SECOND or SUBSEQUENT submission of items concerning a submission under 35 U.S.C. 371.								
3. This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.								
4. The US has been elected (Article 31).								
5. A copy of the International Application	A copy of the International Application as filed (35 U.S.C. 371(c)(2))							
a. is attached hereto (required	a. Is attached hereto (required only if not communicated by the International Bureau).							
b. has been communicated by	b. 🚺 has been communicated by the International Bureau.							
c. is not required, as the appli	c. is not required, as the application was filed in the United States Receiving Office (RO/US).							
6. An English language translation of the	e International Application as filed (35 U.S.	C. 371(c)(2)).						
a. is attached hereto.	a. is attached hereto.							
b. has been previously submi	b. has been previously submitted under 35 U.S.C. 154(d)(4).							
7. Amendments to the claims of the Inte	emational Application under PCT Article 19	(35 U.S.C. 371(c)(3))						
a. are attached hereto (requi	a. are attached hereto (required only if not communicated by the International Bureau).							
b. have been communicated	b. have been communicated by the International Bureau.							
c. have not been made; how	c. have not been made; however, the time limit for making such amendments has NOT expired.							
d. Anave not been made and	d. An have not been made and will not be made.							
8. An English language translation of the	An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).							
9. An oath or declaration of the inventor	An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).							
10. An English language translation of the Article 36 (35 U.S.C. 371(c)(5)).	ne annexes of the International Preliminary I	Examination Report under PCT						
Items 11 to 20 below concern document(s) or information included:								
11. An Information Disclosure Statement	t under 37 CFR 1.97 and 1.98.							
12. An assignment document for recordi	An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.							
13. A preliminary amendment.	A preliminary amendment.							
14. An Application Data Sheet under 37	An Application Data Sheet under 37 CFR 1.76.							
15. A substitute specification.	A substitute specification.							
16. A power of attorney and/or change of	A power of attorney and/or change of address letter.							
17. A computer-readable form of the sec	quence listing in accordance with PCT Rule	13ter.2 and 37 CFR 1.821- 1.825.						
18. A second copy of the published Inter	rnational Application under 35 U.S.C. 154(d)(4).						
19. A second copy of the English langua	age translation of the international application Mail Certificate; PTO/SB/96; PTO/SB/80;	on under 35 U.S.C. 154(d)(4). PTO/SB/08B; Charge Authorization; Receipt						

Other items or information: Confirmation Postcard;

This collection of information is required by 37 CFR 1.414 and 1.491-1.492. The information is required to obtain or retain a benefit by the public, which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 15 minutes to complete, including gathering information, preparing, and submitting the completed form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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U.S. APPLICATION NO. (if known, see 37 CFR 1.5) INTERNATIONAL APPLICATION NO. PCT/IB2004/050492			ATTORNEY'S DOCKET NUMBER NL030412			
The following fees have been submitted			CALCULATIONS	PTO USE ONLY		
21.			\$ 300.00			
22. Examination fee If International preliminary examination report prepared by USPTO and all claims satisfy provisions of PCT Article 33(1)-(4)					\$ 200.00	
23. Search fee Search fee (37 CFR 1.445(a)(2)) has been paid on the international application to the USPTO as an International Searching Authority			\$ 400.00			
TOTAL OF 21, 22 and 23 =			\$ 900.00			
Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing or computer program listing filed in an electronic medium). The fee is \$250 for each additional 50 sheets of paper or fraction thereof.						
Total Sheets	Extra Sheets		additional 50 or fraction ip to a whole number)	RATE		
- 100 =	/50_=			x \$2 <u>50</u>	\$	
Surcharge of \$130.00 for furnishing the oath or declaration later than 30 months from the earliest claimed priority date (37 CFR 1.492(h)).					\$	
CLAIMS	NUMB	ER FILED	NUMBER EXTRA	RATE	\$	
Total claims	10	- 20 =		× \$50	\$ 0.00	
Independent claim	s 1	- 3 =		× \$200	\$ 0.00	
MULTIPLE DEPE	NDENT CLAIM(S	S) (if applicable)		+ \$360	\$.	
	TOTAL OF ABOVE CALCULATIONS =			CALCULATIONS =	\$ 0.00	
Applicant clair	Applicant claims small entity status. See 37 CFR 1.27. Fees above are reduced by 1/2.					
SUBTOTAL =				\$ 900.00		
Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(i)).			\$			
TOTAL NATIONAL FEE =			\$ 900.00			
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property +				\$ 40.00		
			TOTAL	FEES ENCLOSED =	\$ 940.00	
			Amount to be refunded:	\$		
					Amount to be charged:	\$ 940.00
a. A check in the amount of \$ to cover the above fees is enclosed.						
b. Please charge my Deposit Account No. 14-1270 in the amount of \$ 940.00 to cover the above fees. A duplicate copy of this sheet is enclosed.						
c. The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 14-1270 . A duplicate copy of this sheet is enclosed.						
d. Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.						
NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to evive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the International Application to pending status.						
SEND ALL CORRESPONDENCE TO:						
Corporate Patent Counsel						
Daniel J. Piotrov					wski	
	B.O. Boy 2001					
Briarcliff Manor, NY 10510 42,079 REGISTRATION					ON NUMBER	
Sharom Manor, 111 10010 REGISTRATI					OIT HOMBEN	



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JC20 Rec'd PET/PTO 21 OCT 2005

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of

Atty. Docket

SCHOELLMANN ET AL

NL030412

Serial No.

Group Art Unit

Filed: CONCURRENTLY

Ex.

ELECTROPHORETIC DISPLAY DEVICE

CERTIFICATE OF EXPRESS MAILING				
[X] Express Mail Mailing Label No.				
E	V 664 855 366 US			
Date of Deposit: OCTOBER_ 2 / , 2005				
Service "Express Mail	his paper and/or fee is being deposited with the United States Postal Post Office to Addressee" service under 37 C.F.R. 1.10 on the date s addressed to the Commissioner for Patents, PO Box, 1450, Alexandria,			
VA 22313-1450				
	() Ala HAM			
Debbie Henn				
Typed Name	Signatúre			

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

JC20 Rec'd PCT/FTO 21 OCT 2003

In re Application of

SCHOELLMANN ET AL

NL030412

Serial No.

Group Art Unit

Filed: CONCURRENTLY

Ex.

ELECTROPHORETIC DISPLAY DEVICE

Commissioner for Patents Alexandria, VA 22313-1450

AUTHORIZATION PURSUANT TO 37 CFR §1.136(a)(3) AND TO CHARGE DEPOSIT ACCOUNT

Sir:

The Commissioner is hereby requested and authorized to treat any concurrent or future reply in this application requiring a petition for extension of time for its timely submission, as incorporating a petition for extension of time for the appropriate length of time.

Please charge any additional fees which may now or in the future be required in this application, including extension of time fees, but excluding the issue fee unless explicitly requested to do so, and credit any overpayment, to Deposit Account No. 14-1270.

Respectfully submitted,

Daniel J. Piotrowski, Reg. 42,079

Attorney

(914)333-9624